

Attorney Docket No. <u>03773/LH</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): T. TAKAYAMA

Serial No. : 10/790,959

Filed : March 1, 2004

For : ROLLING ELEMENT AND METHOD OF

PRODUCING THE SAME

Art Unit

Examiner : Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

I hereby certify that this correspondence is being deposited with the United States Postal

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Patents, P.O. Box 1450, Alexandria,
VA 22313-1450, on the date noted below.

Dated: October 29, 2004

CERTIFICATE OF MAILING

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card (Form PTO-2038 attached hereto), authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Submitted herewith are copies of the documents identified on the attached Form PTO/SB/08A. U.S. Appln. Publ. No. 2004/0035499A1 corresponds to U.S. Serial No. 10/641,362, which is a related application of the present application.

Also submitted herewith is a Form PTO/SB/08B listing the particulars of <u>related</u> application Serial No. 10/790,931 filed March 1, 2004. Since related Serial No. 10/790,931 was filed in the Japanese language, a copy of the English language version, when published by the USPTO, will be filed in due course.

The U.S. patent publication identified on said form PTO/SB/08A is not enclosed herewith since the USPTO waived the requirement to file copies of U.S. patents and U.S. patent application publications for U.S. applications filed after June 30, 2003. See the USPTO Notice dated July 11, 2003.

Commercially available English language Abstracts are provided for each of the cited Japanese documents.

It is respectfully requested that the Examiner return initialed copies of the attached Forms PTO/SB/08A and PTO/SB/08B to confirm that the documents listed therein have all been considered and made of record.

Respectfully sybmitted,

Dated: October 29, 2004 Leonard Holtz Reg. No. 22,974

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Application Number 10/790,959

Substitute for Form 1449A/PTO			tion Number	10,	10/790,959			
		Filing	Date	Mai	March 1, 2004			
INFORMATION DISCLOSURE STATEMENT BY APPEICANT			amed Inventor	T. TAKAYAMA				
		Group Art Unit						
•		Examine	r Name	Not	Not Yet Assigned			
1	of 092	Attorney Docket Number			03773/LH			
	The same of the sa	J.S. PATI	ENT DOCUMENTS					
Cite No¹	Document Number Kind Code <sup>2</sup>		Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion		
A	2004/0035499	A1	TAKAYAMA et al.		02-26-2004			
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	Cite	RMATION DISCLOSURE EMENT BY APPERSANT  NOV 0 2 2004  Cite No1 Document Number	Filing  Filing  First N  Group A  NOV 0 2 2004  U.S. PATI  Cite No¹ Document Number  Filing  First N  Group A  Examine  Kind Code²	Filing Date  First Named Inventor  Group Art Unit  Examiner Name  1  Officer No. 1  Cite No. 1  Document Number  First Named Inventor  Group Art Unit  Examiner Name  Attorney Docket Number  Kind Name of Patentee Applicant	Filing Date  Filing Date  First Named Inventor  Group Art Unit  Examiner Name  1  Of 02  Attorney Docket Number  Cite Not Document Number  Kind Name of Patentee or Applicant	Filing Date  Filing Date  Filing Date  First Named Inventor  Group Art Unit  Examiner Name  1  Off 2  Attorney Docket Number  Cite No¹ Document Number  Kind Name of Patentee or Applicant  Name of Patentee or Applicant		

## FOREIGN PATENT DOCUMENTS

Exam Inits	Cite No <sup>1</sup>	Offc³	Document Number4	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
	В	JP	03-061348	А	KOMATSU LTD.	03-18-1991		х*
	C	JР	08-073929	A	KOMATSU LTD.	03-19-1996		х*
	D	JP	08-104924	A	KOMATSU LTD.	04-23-1996		х*
	E	JP	2004-076125	А	KOMATSU LTD.	03-11-2004		х*
	·		*English Language Abstract only		-			
Examir	Examiner			Date				

Signature | Considered |
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: October 29, 2004

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 10/790,959 Application Number Substitute for Form 1449A/PTO Filing Date March 1, 2004 INFORMATION DISCLOSURE First Named Inventor T. TAKAYAMA STATEMENT BY APPLICANT Group Art Unit Examiner Name Not Yet Assigned Sheet of Attorney Docket Number 03773/LH OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS Include name of author (in CAPITAL LETTERS), title of article, title of item, date,
 page(s), volume-issue number(s), publisher, city and/or country where published Cite Examiner Initials1 No.1 F Related U.S. Patent Application Serial No. 10/790,931, filed March 1, 2004; Inventor: T. TAKAYAMA et al.; Title: ROLLING ELEMENT AND METHOD OF PRODUCING THE SAME. "HEAT TREATMENT OF STEEL" ( $5^{\text{TH}}$  Edition) Edited by: The Iron and Steel Institute of Japan, Publ. Office: Maruzen Co., Ltd., G March 15, 1985

PTO/SB/08B (08-00)

Date

Considered

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Examiner

Signature

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 $<sup>^1</sup>$  Unique citation designation number.  $^2$  Place a check here if English translation is attached.